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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/525,529	02/24/2005	Markus Scherer	266010US0PCT	7078
22850 7590 04/06/2007 OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C. 1940 DUKE STREET			EXAMINER	
			MULCAHY, PETER D	
ALEXANDRIA, VA 22314			ART UNIT	PAPER NUMBER
			1713	
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SHORTENED STATUTOR	Y PERIOD OF RESPONSE	NOTIFICATION DATE	DELIVERY MODE	
3 MOI	NTHS	04/06/2007	ELECT	RONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Notice of this Office communication was sent electronically on the above-indicated "Notification Date" and has a shortened statutory period for reply of 3 MONTHS from 04/06/2007.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@oblon.com oblonpat@oblon.com jgardner@oblon.com

## Office Action Summary    The MAILING DATE of this communication appears on the cover sheet with the correspondence address = Period for Reply  A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  Extensions of time may be available under the provisions of 37 CFR 1.13(a). In no event, however, may a neph be timely filed after St (a) MONTHS from the mailing date of the communication.  Public benefit for reply is specified above, the maximum statutory parted will apply and will explicit show the remaining date of the communication.  Public benefit for reply is specified above, the maximum statutory parted will apply and will explicit show the maining date of the communication.  Public benefit for reply is specified above, the maximum statutory parted will apply and will explicit show the maining date of this communication.  Public benefit for reply is specified above, the maximum statutory parted will apply and will provide by the office show the maining date of this communication. Public benefit for the maximum statutory parted will apply and the provided by the office show the maining date of this communication. Public benefit for the maximum statutory parted will apply and the specific show the maximum statutory parted will apply and the specific show the maximum statutory parted will apply and the specific show the specific show. Public shows the maximum statutory parted will apply and the specific show the spec
Peter D. Mulcahy   1713    - The MAILING DATE of this communication appears on the cover sheet with the correspondence address  Period for Reply   A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Entraisons of time may be available under the previous of 37 CFR 1.38(a). In no event, however, may a reply be timely filled after SIX (9) MONTH'S from the mailing date of this communication.  - Finalize to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Cince later than three months after the mailing date of this communication.  - Finalize to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Cince later than three months after the mailing date of this communication, even if timely filled, may reduce any carried patent form adjustment. See 37 CFR 1.704(b).  Status  1)
— The MAILING DATE of this communication appears on the cover sheet with the correspondence address — Period for Reply  A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  Estimations of time may be available under the provision of 37 CFR 1.35(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  If No period for reply is pecified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  Failure to reply evented by the Office ster than three mailing date of this communication, even if timely filed, may reduce any service patient term adjustment. See 37 CFR 1.704(b).  Status  1) ☑ Responsive to communication(s) filed on 24 February 2005.  2a) ☐ This action is FINAL.  2b) ☑ This action is non-final.  3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  4) ☑ Claim(s) 1-18 and 20 is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.  5) ☐ Claim(s) is/are allowed.  6) ☑ Claim(s) is/are objected to.  Claim(s) is/are objected to.  Claim(s) is/are objected to.  Application Papers  9) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  Application are subject to restriction and/or election requirement.  Application Papers  9) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.18(a).  11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  Priority under 35 U.S.C. § 119  12 ☐ Acknowledgment is made
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(s). In no event, however, may a reply be timely filed after Stx (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication, even if timely filed. In the specified and specified and specified value and specified and specified value and specified value.  - Application is FINAL.  - Application of Claims  - Application of Claims  - Application of Claim(s) - 1-18 and 20 is/are pending in the application.  - Application Papers  - Application Papers  - Application is objected to by the Examiner.  - Application Papers  - Application is objected to by the Examiner.  - Application may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.121(d).  - The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  - Priority under 35 U.S.C. § 119  - 12
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2. Certified copies of the priority documents have been received in Application No
or the continued copies of the phoney documents have been received in this National Stage
application from the International Bureau (PCT Rule 17.2(a)).
* See the attached detailed Office action for a list of the certified copies not received.
Attachment(s)
1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) Paper No(s)/Mail Date
3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date 2/24/05&3/15/07.  5) Notice of Informal Patent Application  6) Other:
S. Patent and Trademark Office PTOL-326 (Rev. 08-06)  Office Action Summary  Part of Paper No./Mail Date 20070402

Art Unit: 1713

#### **DETAILED ACTION**

## **Double Patenting**

1. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

2. Claims 1-18 and 20 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 21-41 of copending Application No. 10/525,942. Although the conflicting claims are not identical, they are not patentably distinct from each other because the ether of the claims in the '942 application is presumed to have a dielectric constant that anticipates or renders obvious that claimed. Further, the ethoxylated alcohol reads on the alcohol specie claimed herein.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

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## Claim Rejections - 35 USC § 112

3. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

- 4. Claim 4 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
- 5. The use of the term "optionally" renders the claims indefinite.

# Claim Rejections - 35 USC § 102

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

#### Claim Rejections - 35 USC § 103

- 7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 8. Claims 1-18 and 20 are rejected under 35 U.S.C. 102(b) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over Pennewiss et al US 4,290,925 or 4,622,358.

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9. Each of the cited patents teach lubricating oil additives. These additives are dispersed polyolefins having a dispersing medium which anticipates the claims. The '925 patent identifies each of the claimed components in columns 3-5. The '358 patent discusses the polyolefin at column 3 lines 5+. The carrier medium, and "compound" claimed component D) is disclosed at column 6 lines 20+. These patents teach components which anticipates each of the claimed components. One of ordinary skill is directed to utilize each of the claimed ingredients as in the claims and as such these claims are anticipated. In the event that one of ordinary skill would not immediately envisage the claimed limitations then the claims are considered prima facie obvious. These patents teach components which anticipates each of the claimed components. One of ordinary skill is directed to utilize each of the claimed ingredients as in the claims and as such one of ordinary skill would be motivated to do so.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Peter D. Mulcahy whose telephone number is 571-272-1107. The examiner can normally be reached on Mon.-Fri. 8-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Wu can be reached on 571-272-1114. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Peter D. Mulcahy Primary Examiner Art Unit 1713

3/27/07